

Annexure – B

**Investors Complaints' Data for Uniquet Investment Adviser Private Limited
– Investment Advisers
Data for month ending September- 2025**

S N	Received from	Pending at the end of last month	Received	Resolved	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORE S)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Number of complaints received during month against the IA due to impersonation by some other entity:

Note: In case of any complaints received against the IA due to impersonation of the IA by some other entity, the IA may adjust the number of such complaints from total number of received/resolved complaints while preparing the above table. Further, IA must close such impersonation related complaints after following the due process as specified by SEBI/ IAASB.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2021	NIL	NIL	NIL	NIL
2	May 2021	NIL	NIL	NIL	NIL
3	June-2021	NIL	NIL	NIL	NIL
4	July-2021	NIL	NIL	NIL	NIL
5	Aug-2021	NIL	NIL	NIL	NIL
6	Sep-2021	NIL	NIL	NIL	NIL
7	Oct-2021	NIL	NIL	NIL	NIL
8	Nov-2021	NIL	NIL	NIL	NIL
9	Dec-2021	NIL	NIL	NIL	NIL
10	Jan-2022	NIL	NIL	NIL	NIL
11	Feb-2022	NIL	NIL	NIL	NIL
12	March-2022	NIL	NIL	NIL	NIL
13	April-2022	NIL	NIL	NIL	NIL
14	May-2022	NIL	NIL	NIL	NIL
15	June-2022	NIL	NIL	NIL	NIL
16	July-2022	NIL	NIL	NIL	NIL
17	Aug-2022	NIL	NIL	NIL	NIL
18	Sep-2022	NIL	NIL	NIL	NIL
19	Oct-22	NIL	NIL	NIL	NIL
20	Nov-22	NIL	NIL	NIL	NIL
21	Dec-22	NIL	NIL	NIL	NIL
22	Jan-23	NIL	NIL	NIL	NIL
23	Feb-23	NIL	NIL	NIL	NIL
24	March-23	NIL	NIL	NIL	NIL
25	April-23	NIL	NIL	NIL	NIL
26	May -23	NIL	NIL	NIL	NIL
27	June -23	NIL	NIL	NIL	NIL
28	July – 23	NIL	NIL	NIL	NIL
29	Aug – 23	NIL	NIL	NIL	NIL
30	Sep -23	NIL	NIL	NIL	NIL
31	Oct – 23	NIL	NIL	NIL	NIL
32	Nov – 23	NIL	NIL	NIL	NIL
33	Dec – 23	NIL	NIL	NIL	NIL
34	Jan – 24	NIL	NIL	NIL	NIL
35	Feb – 24	NIL	NIL	NIL	NIL
36	Mar – 24	NIL	NIL	NIL	NIL

37	Apr – 24	NIL	NIL	NIL	NIL
38	May – 24	NIL	NIL	NIL	NIL
39	June- 24	NIL	NIL	NIL	NIL
40	July- 24	NIL	NIL	NIL	NIL
41	August- 24	NIL	NIL	NIL	NIL
42	September- 24	NIL	NIL	NIL	NIL
43	October- 24	NIL	NIL	NIL	NIL
44	November- 24	NIL	NIL	NIL	NIL
45	December- 24	NIL	NIL	NIL	NIL
46	January- 25	NIL	NIL	NIL	NIL
47	February- 25	NIL	NIL	NIL	NIL
48	March- 25	NIL	NIL	NIL	NIL
49	April- 25	NIL	NIL	NIL	NIL
50	May- 25	NIL	NIL	NIL	NIL
51	June- 25	NIL	NIL	NIL	NIL
52	July- 25	NIL	NIL	NIL	NIL
53	August- 25	NIL	NIL	NIL	NIL
54	September- 25	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
8	2024-25	NIL	NIL	NIL	NIL
9	2025-26	NIL	NIL	NIL	NIL

* Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.