

Annexure – B

**Investors Complaints' Data for Uniquet Investment Adviser Private Limited
– Investment Advisers
Data for month ending Apr - 2024**

| S N | Received from | Carried forward from previous month | Receive d during the month | Total Pendin g | Resol ve d* | Pending at the end of the month** | | Average Resoluti on time^ (in days) |
|--------|-------------------------------|---|-------------------------------------|----------------------|----------------|---|--|--|
| | | | | | | Pending for less than 3 month s | Pending formore than 3 month s | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | | NIL |
| 2 | SEBI (SCORE S) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 3 | Stock Exchang es | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | | NIL |

Trend of monthly disposal of complaints

| No. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|-----|------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April 2021 | NIL | NIL | NIL | NIL |
| 2 | May 2021 | NIL | NIL | NIL | NIL |
| 3 | June-2021 | NIL | NIL | NIL | NIL |
| 4 | July-2021 | NIL | NIL | NIL | NIL |
| 5 | Aug-2021 | NIL | NIL | NIL | NIL |
| 6 | Sep-2021 | NIL | NIL | NIL | NIL |
| 7 | Oct-2021 | NIL | NIL | NIL | NIL |
| 8 | Nov-2021 | NIL | NIL | NIL | NIL |
| 9 | Dec-2021 | NIL | NIL | NIL | NIL |
| 10 | Jan-2022 | NIL | NIL | NIL | NIL |
| 11 | Feb-2022 | NIL | NIL | NIL | NIL |
| 12 | March-2022 | NIL | NIL | NIL | NIL |
| 13 | April-2022 | NIL | NIL | NIL | NIL |
| 14 | May-2022 | NIL | NIL | NIL | NIL |
| 15 | June-2022 | NIL | NIL | NIL | NIL |
| 16 | July-2022 | NIL | NIL | NIL | NIL |
| 17 | Aug-2022 | NIL | NIL | NIL | NIL |
| 18 | Sep-2022 | NIL | NIL | NIL | NIL |
| 19 | Oct-22 | NIL | NIL | NIL | NIL |
| 20 | Nov-22 | NIL | NIL | NIL | NIL |
| 21 | Dec-22 | NIL | NIL | NIL | NIL |
| 22 | Jan-23 | NIL | NIL | NIL | NIL |
| 23 | Feb-23 | NIL | NIL | NIL | NIL |
| 24 | March-23 | NIL | NIL | NIL | NIL |
| 25 | April-23 | NIL | NIL | NIL | NIL |
| 26 | May -23 | NIL | NIL | NIL | NIL |
| 27 | June -23 | NIL | NIL | NIL | NIL |
| 28 | July – 23 | NIL | NIL | NIL | NIL |
| 29 | Aug – 23 | NIL | NIL | NIL | NIL |
| 30 | Sep -23 | NIL | NIL | NIL | NIL |
| 31 | Oct – 23 | NIL | NIL | NIL | NIL |
| 32 | Nov – 23 | NIL | NIL | NIL | NIL |
| 33 | Dec – 23 | NIL | NIL | NIL | NIL |
| 34 | Jan - 24 | NIL | NIL | NIL | NIL |
| 35 | Feb – 24 | NIL | NIL | NIL | NIL |
| 36 | Mar – 24 | NIL | NIL | NIL | NIL |

| | | | | | |
|----|--------------------|------------|------------|------------|------------|
| 37 | Apr - 24 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | NIL | NIL | NIL | NIL |
| 2 | 2018-19 | NIL | NIL | NIL | NIL |
| 3 | 2019-20 | NIL | NIL | NIL | NIL |
| 4 | 2020-21 | NIL | NIL | NIL | NIL |
| 5 | 2021-22 | NIL | NIL | NIL | NIL |
| 6 | 2022-23 | NIL | NIL | NIL | NIL |
| 7 | 2023-24 | NIL | NIL | NIL | NIL |
| 8 | 2024-25 | NIL | NIL | NIL | NIL |