

**Annexure – B**

**Investors Complaints' Data for Uniquet Investment Adviser Private Limited  
– Investment Advisers  
Data for month ending Mar - 2024**

S N	Received from	Carried forward from previous month	Receive d during the month	Total Pendin g	Resol ve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pending for less than 3 month s	Pending formore than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORE S)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchang es	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

**Trend of monthly disposal of complaints**

No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April 2021	NIL	NIL	NIL	NIL
2	May 2021	NIL	NIL	NIL	NIL
3	June-2021	NIL	NIL	NIL	NIL
4	July-2021	NIL	NIL	NIL	NIL
5	Aug-2021	NIL	NIL	NIL	NIL
6	Sep-2021	NIL	NIL	NIL	NIL
7	Oct-2021	NIL	NIL	NIL	NIL
8	Nov-2021	NIL	NIL	NIL	NIL
9	Dec-2021	NIL	NIL	NIL	NIL
10	Jan-2022	NIL	NIL	NIL	NIL
11	Feb-2022	NIL	NIL	NIL	NIL
12	March-2022	NIL	NIL	NIL	NIL
13	April-2022	NIL	NIL	NIL	NIL
14	May-2022	NIL	NIL	NIL	NIL
15	June-2022	NIL	NIL	NIL	NIL
16	July-2022	NIL	NIL	NIL	NIL
17	Aug-2022	NIL	NIL	NIL	NIL
18	Sep-2022	NIL	NIL	NIL	NIL
19	Oct-22	NIL	NIL	NIL	NIL
20	Nov-22	NIL	NIL	NIL	NIL
21	Dec-22	NIL	NIL	NIL	NIL
22	Jan-23	NIL	NIL	NIL	NIL
23	Feb-23	NIL	NIL	NIL	NIL
24	March-23	NIL	NIL	NIL	NIL
25	April-23	NIL	NIL	NIL	NIL
26	May -23	NIL	NIL	NIL	NIL
27	June -23	NIL	NIL	NIL	NIL
28	July – 23	NIL	NIL	NIL	NIL
29	Aug – 23	NIL	NIL	NIL	NIL
30	Sep -23	NIL	NIL	NIL	NIL
31	Oct – 23	NIL	NIL	NIL	NIL
32	Nov – 23	NIL	NIL	NIL	NIL
33	Dec – 23	NIL	NIL	NIL	NIL
34	Jan - 24	NIL	NIL	NIL	NIL
35	Feb – 24	NIL	NIL	NIL	NIL
36	Mar – 24	NIL	NIL	NIL	NIL

	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
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\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL